

UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
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Washington, D.C. 20231

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NO./TITLE
09/409,566	09/30/99	BARROTT	J AUR-014-PA

0242/1102
KILLWORTH GOTTMAN HAGAN SCHAEFF LLP
ONE DAYTON CENTRE, SUITE 500
ONE SOUTH MAIN STREET
DAYTON OH 45402-2023



NOT ASSIGNED

2776

DATE MAILED:

11/02/99

NOTICE TO FILE MISSING PARTS OF APPLICATION
Filing Date Granted

An Application Number and Filing Date have been assigned to this application. The items indicated below, however, are missing. Applicant is given **TWO MONTHS FROM THE DATE OF THIS NOTICE** within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a). If any of items 1 or 3 through 5 are indicated as missing, the **SURCHARGE** set forth in 37 CFR 1.16(e) of ☐ \$65.00 for a small entity in compliance with 37 CFR 1.27, or ☒ \$130.00 for a non-small entity, must also be timely submitted in reply to this NOTICE to avoid abandonment.

If all required items on this form are filed within the period set above, the total amount owed by applicant as a ☐ small entity (statement filed) ☒ non-small entity is \$ 130.

☐ 1. The statutory basic filing fee is:

- ☐ missing.
☐ insufficient.

Applicant must submit \$ _____ to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).

☐ 2. The following additional claims fees are due:

- \$ _____ for _____ total claims over 20.
\$ _____ for _____ independent claims over 3.
\$ _____ for multiple dependent claim surcharge.

Applicant must either submit the additional claim fees or cancel additional claims for which fees are due.

☒ 3. The oath or declaration:

- ☒ is missing or unsigned.
☐ does not cover the newly submitted items.

An oath or declaration in compliance with 37 CFR 1.63, including residence information and identifying the application by the above Application Number and Filing Date is required.

☐ 4. The signature(s) to the oath or declaration is/are by a person other than inventor or person qualified under 37 CFR 1.42, 1.43 or 1.47.

A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.

☐ 5. The signature of the following joint inventor(s) is missing from the oath or declaration:

An oath or declaration in compliance with 37 CFR 1.63 listing the names of all inventors and signed by the omitted inventor(s), identifying this application by the above Application Number and Filing Date, is required.

☐ 6. A \$50.00 processing fee is required since your check was returned without payment (37 CFR 1.21(m)).

☐ 7. Your filing receipt was mailed in error because your check was returned without payment.

☐ 8. The application was filed in a language other than English.

Applicant must file a verified English translation of the application, the \$130.00 set forth in 37 CFR 1.17(k), unless previously submitted, and a statement that the translation is accurate (37 CFR 1.52(d)).

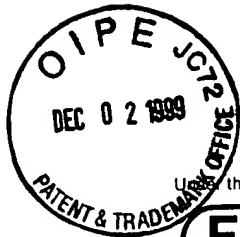
☐ 9. OTHER:

Direct the reply and any questions about this notice to "Attention: Box Missing Parts."

A copy of this notice MUST be returned with the reply.

Karen Smith
Customer Service Center

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SECTOR

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PTO/SB/17 (12-98)
Approved for use through 09/30/2000. OMB 0651-0032
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
Small Entity payments must be supported by a small entity statement,
otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$) 130.00

Complete if Known

Application Number	09/409,566
Filing Date	September 30, 1999
First Named Inventor	John Christopher Barrott
Examiner Name	
Group / Art Unit	2776
Attorney Docket No.	AUR 014 PA

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account Number
Deposit Account Name

☐ Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

2. ☒ Payment Enclosed:

☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
101 760	201 380	Utility filing fee	<input type="text"/>
106 310	206 155	Design filing fee	<input type="text"/>
107 480	207 240	Plant filing fee	<input type="text"/>
108 760	208 380	Reissue filing fee	<input type="text"/>
114 150	214 75	Provisional filing fee	<input type="text"/>

SUBTOTAL (1) (\$) 00.00

2. EXTRA CLAIM FEES

	Extra Claims	Fee from below	Fee Paid
Total Claims	<input type="text"/> -20** = <input type="text"/>	X <input type="text"/>	<input type="text"/>
Independent Claims	<input type="text"/> -3** = <input type="text"/>	X <input type="text"/>	<input type="text"/>
Multiple Dependent	<input type="text"/>	<input type="text"/>	<input type="text"/>

**or number previously paid, if greater; For Reissues, see below

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description
103 18	203 9	Claims in excess of 20
102 78	202 39	Independent claims in excess of 3
104 260	204 130	Multiple dependent claim, if not paid
109 78	209 39	** Reissue independent claims over original patent
110 18	210 9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 00.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid
105 130	205 65	Surcharge - late filing fee or oath	130.00
127 50	227 25	Surcharge - late provisional filing fee or cover sheet.	<input type="text"/>
139 130	139 130	Non-English specification	<input type="text"/>
147 2,520	147 2,520	For filing a request for reexamination	<input type="text"/>
112 920*	112 920*	Requesting publication of SIR prior to Examiner action	<input type="text"/>
113 1,840*	113 1,840*	Requesting publication of SIR after Examiner action	<input type="text"/>
115 110	215 55	Extension for reply within first month	<input type="text"/>
116 380	216 190	Extension for reply within second month	<input type="text"/>
117 870	217 435	Extension for reply within third month	<input type="text"/>
118 1,360	218 680	Extension for reply within fourth month	<input type="text"/>
128 1,850	228 925	Extension for reply within fifth month	<input type="text"/>
119 300	219 150	Notice of Appeal	<input type="text"/>
120 300	220 150	Filing a brief in support of an appeal	<input type="text"/>
121 260	221 130	Request for oral hearing	<input type="text"/>
138 1,510	138 1,510	Petition to institute a public use proceeding	<input type="text"/>
140 110	240 55	Petition to revive - unavoidable	<input type="text"/>
141 1,210	241 605	Petition to revive - unintentional	<input type="text"/>
142 1,210	242 605	Utility issue fee (or reissue)	<input type="text"/>
143 430	243 215	Design issue fee	<input type="text"/>
144 580	244 290	Plant issue fee	<input type="text"/>
122 130	122 130	Petitions to the Commissioner	<input type="text"/>
123 50	123 50	Petitions related to provisional applications	<input type="text"/>
126 240	126 240	Submission of Information Disclosure Stmt	<input type="text"/>
581 40	581 40	Recording each patent assignment per property (times number of properties)	<input type="text"/>
146 760	246 380	Filing a submission after final rejection (37 CFR 1.129(a))	<input type="text"/>
149 760	249 380	For each additional invention to be examined (37 CFR 1.129(b))	<input type="text"/>

Other fee (specify)

Other fee (specify)

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 130.00

SUBMITTED BY

Typed or Printed Name William A. Jividen

Signature

Date

11/29/99

Complete (if applicable)

Reg. Number 42,695

Deposit Account User ID

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE



Application of

Applicants : Barrott et al
Serial No. : 09/409,566
Filed : September 30, 1999
Title : **COMPUTERIZED FAMILY
ADVISING SYSTEM AND
METHOD FOR MAKING
FUNERAL ARRANGEMENTS**
Docket : AUR 014 PA

CERTIFICATE OF MAILING
I hereby certify that this correspondence
is being deposited with the United States
Postal Service as first class mail in an
envelope addressed to: Assistant
Commissioner for Patents, Washington,
D.C. 20231 on November 29, 1999

William A. Jividen, Reg. No. 42,695

BOX MISSING PART

Assistant Commissioner for Patents
Washington, DC 20231

Sir:

FILING OF MISSING PARTS

Pursuant to the Notice to File Missing Parts of
Application (Form PTO-1533), mailed November 2, 1999 in the
above-identified application, enclosed is a properly signed
declaration in compliance with 37 CFR §1.63 identifying the
application by the above Serial Number and Filing Date, and the
return copy of Form PTO-1533.

Also enclosed is our check in the amount of \$130.00 as
set forth in 37 CFR §1.16(e).

Respectfully submitted,

KILLWORTH, GOTTMAN, HAGAN &
SCHAEFF, L.L.P.

By

William A. Jividen
Registration No. 42,695

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WAJ/AMS/Encls.